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<b>(21) International Application Number:</b> PCT/EP91/02046 <b>(22) International Filing Date:</b> 24 October 1991 (24.10.91)  <b>(30) Priority data:</b> 9002331                      24 October 1990 (24.10.90)    NL 9002336                      25 October 1990 (25.10.90)    NL  <b>(71) Applicant (for all designated States except US):</b> K.U. LEUVEN RESEARCH & DEVELOPMENT [BE/BE]; Groot Begijnhof, Benedenstraat 59, B-3000 Leuven (BE).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> KINGET, Renaat, Daniel [BE/BE]; Dorpsstraat 139, B-3060 Bertem (BE). PEETERS, Rita, Maria, Rosa [BE/BE]; Beatrijslaan 99, B-3110 Rotselaar (BE).		<b>(74) Agents:</b> HOIJTINK, Reinoud et al.; Arnold & Siedsma, Octrooibureau, Sweelinckplein 1, NL-2517 GK The Hague (NL).  <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CA, CH (European patent), CS, DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), HU, IT (European patent), JP, LU (European patent), NL (European patent), NO, PL, SE (European patent), US.  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> COATING OR MATRIX MATERIAL FOR MEDICAMENTS  <b>(57) Abstract</b> <p>A coating or matrix material for medicaments, comprising a copolymer of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate, will have the property of being resistant to gastric juice and dissolving or disintegrating only in the colon if the ratio of free carboxy groups to esterified carboxy groups in the copolymer is between 1:4.5 and 1:3 (the limiting values excluded). Such copolymers may be prepared either by direct copolymerisation of monomers in such proportions that a copolymer having the specified ratio is obtained, or else by starting with a copolymer having a ratio between 1:1 and 1:3 and partially esterifying the free carboxy groups therein to reach the specified ratio.</p>		

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## Coating or matrix material for medicaments

This invention relates to a coating or matrix material for medicaments which is resistant to gastric juice and will disintegrate only within the large intestine (colon). Further, it relates to a method of preparing such a  
5 coating or matrix material and to medicaments provided with a coating or matrix of that material.

In many cases, it is desirable to coat a medicament in such a way that the active ingredient is only released after a predetermined time interval or after reaching a  
10 certain location within the body. Thus, many medicaments for oral administration are provided with a coating or matrix of a material which is resistant to gastric juice but will dissolve or disintegrate in the juice of the small intestine, thus allowing the active ingredient to pass the stomach  
15 without any hindrance and to be released only in the small intestine to exercise its activity. Materials of this type are commonly indicated as gastric-resistant coating or matrix materials or "enteric coating matrix materials". Suitable examples thereof are: methacrylate polymers and  
20 copolymers, cellulose derivatives esterified with polybasic acids, and polyvinyl acetate-phthalate.

In some cases, it is desired to provide a medicament with a coating or matrix which can withstand gastric as well as enteric environments and which will release the active  
25 ingredient only when the medicament has reached the large intestine and in particular the colon. This may be suitable in treating special colon diseases such as Crohn's disease and several types of colon cancer but also to reach a higher efficacy of medicaments such as corticosteroides, laxatives,  
30 vermicides and the like, thus allowing smaller doses to be sufficient. Most of the cited coating and matrix materials are unsuitable for this purpose, however, because they will dissolve or disintegrate already in the small intestine.

It has been suggested already to coat medicaments  
35 with polymers which have been cross-linked with azoaromatic groups. Such polymers would protect the medicament against

absorption within the stomach and the small intestine but would release the medicament in the colon as a result of disintegration through activity of the microflora present therein. Reported data show, however, that large individual differences are existing in practice (Saffran et al, Science, 1986, 233, 1081).

In accordance with the invention, it has been found that certain copolymers of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate will fulfill the object in view because they are resistant to gastric juice and will dissolve or disintegrate only in an environment of pH above 7 such as prevailing in the colon. A precondition is that the ratio of free carboxy groups to esterified carboxy groups in the copolymer is between 1:4.5 and 1:3, the limiting values of this range being excluded. The copolymer has become insoluble in any intestinal juice at a value of 1:4.5 for said ratio and the copolymer will dissolve or disintegrate already prior to reaching the colon at a value of 1:3.

It has to be noted that several copolymers of (meth)acrylic acid and alkyl (meth)acrylate, suitable for use as gastric-resistant coating or matrix material for medicaments, are already known in the art and commercially available. However, the available copolymers of this type have a value of 1:1 or 1:2.3 for the ratio of free carboxy groups to esterified carboxy groups and will start to disintegrate already in the small intestine at pH 6, and pH 7 respectively, thus rendering them unsuitable for the purposes of the invention.

So, the invention provides a coating or matrix material for medicaments which comprises a copolymer of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate wherein the ratio of free carboxy groups to esterified carboxy groups is between 1:4.5 and 1:3, the limiting values of this range being excluded.

The copolymers meant herein are composed of acrylic acid or methacrylic acid units and of alkyl acrylate, hydroxyalkyl acrylate, alkyl methacrylate or hydroxyalkyl methacrylate units, in random or ordered sequences. The

alkyl groups will have 1-5 and preferably 1-3 carbon atoms whereas the hydroxyalkyl groups will have 1-5 and preferably 2-4 carbon atoms. Suitable examples are copolymers of methacrylic acid and methyl methacrylate, copolymers of methacrylic acid and ethyl methacrylate as well as copolymers of methacrylic acid and methyl acrylate. However, they should satisfy the condition that the ratio of free carboxy groups to esterified carboxy groups is between 1:4.5 and 1:3.

The invented coating or matrix material may be prepared in general in several ways. Thus, it is possible that preparation is effected by copolymerisation of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate in such proportions that the ratio of free carboxy groups to esterified carboxy groups in the end product is between 1:4.4 and 1:3. Such a copolymerisation may be effected conventionally as an emulsion polymerisation.

Another option which is preferred at the moment comprises starting with a copolymer of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate wherein the ratio of free carboxy group to esterified carboxy groups has a value between 1:1 and 1:3, and partially esterifying the free carboxy groups therein until the ratio of free carboxy groups to esterified carboxy groups is between 1:4.5 and 1:3. Esterification may be effected with alkyl groups or hydroxyalkyl groups, alkyl groups having 1-3 carbon atoms and hydroxyalkyl groups having 2-4 carbon atoms being again preferred. Any suitable agent for introducing alkyl or hydroxyalkyl groups may be used as an esterification agent. Diazomethane is a preferred agent for the introduction of methyl groups.

The invented copolymer may be used as a coating material for medicaments by spraying a solution of that copolymer in an organic solvent onto the medicament which may have the form of a fine powder, a granulate or tablets or which may be contained in gelatin capsules. After removal of the solvent by drying, the polymer remains as a coating layer at the surface of the medicament.

In another utilisation, the copolymer is mixed with the medicament in such a way that it will form a matrix having the medicament embedded therein. In both cases, the medicament will be released as soon as the copolymer has  
5 passed the stomach and has reached the colon after oral administration.

In the case that the copolymer is used as a coating material for medicaments, several variants are possible which may lead to a controlled release of medicament in the  
10 colon or in other parts of the gastro-intestinal tract. Thus, various degrees of delay can be obtained by varying the solubility characteristics of the coating layer, simply by blending copolymers having different values for the ratio of free carboxy groups to esterified carboxy groups. Fur-  
15 ther, it is possible to provide different parts or particles of the medicament with coating layers of varying thickness so as to result into a phased or gradual release. The required thickness can be determined by routine experiments but it should be noted that a thickness of at least 10  $\mu\text{m}$  is  
20 normally needed for providing sufficient mechanical strength. The coating layer may consist as a whole of a copolymer according to the invention, but as an alternative, this copolymer may form a "window" in an inert coating layer or it may lend temporary strength to a coating layer which  
25 is weak in itself. Further, the copolymer-coated medicament may be provided with a conventional gastric-resistant coating layer and may optionally have an active ingredient between the two coating layers; in that way, it is possible to ensure release of a medicament in the stomach and/or in  
30 the small intestine, and release of a medicament in the colon as well. The material coated with a coating layer may be a solid or an aqueous or semi-aqueous liquid, provided that this material does not affect or deteriorate the copolymer.

35

#### Example

a) Preparation of a suitable copolymer by methylation.

The starting material of this example was a commercially available copolymer of methacrylic acid and methyl

methacrylate, having about 30% of methacrylic acid units (the ratio of free carboxy groups to esterified carboxy groups being 1:2.3). The acid number was 185 (calculated as mg of KOH per gram of dry solids).

5 10 grams of this copolymer were suspended in 25 ml of ether. 50 ml of an ethereal solution of diazomethane (concentration 0.425 M) was added thereto and the mixture was stirred at room temperature for 5 minutes. The resulting product was filtered off, dried in the air and completely  
10 dried at 50°C in vacuo. This product had an acid number of 120 which corresponds to a value of 1:3.5 for the ratio of free carboxy groups to esterified carboxy groups.

In a similar way, a product having an acid number of 100, corresponding to a value of 1:4 for the ratio of free  
15 to esterified carboxy groups, was obtained from 10 grams of starting copolymer and 38 ml of diazomethane solution.

b) Solubility in vitro.

A solution of the resulting copolymer in acetone was cast onto a glass plate and dried thereon to obtain a film  
20 product. Pieces of the isolated film were introduced in glass tubes containing buffer solutions of different pH values (ranging from pH 7 to pH 8). The time period necessary for the film to dissolve was measured. The copolymer having an acid number of 120 did not dissolve after staying  
25 4 hours in a medium of pH 7 but had been dissolved after 2 hours stay in a medium of pH 7.4. The copolymer having an acid number of 100 did not dissolve after staying 4 hours at pH 7 or pH 7.4 but had been dissolved after 2 hours stay at pH 8.

30 c) Disintegration of the copolymer in vitro

Pieces of the isolated film were introduced as a membrane between the donor compartment and the acceptor compartment of a series of diffusion cells. Both compartments of each cell contained an electrolyte of certain pH  
35 (ranging from pH 7 to pH 8 for the whole series of cells) and caffeine had been added as a marker to each donor compartment. The progression of caffeine concentration within the acceptor compartment of each cell was measured spectrop-

hotometrically during a period of several hours. A sudden increase of the caffeine concentration as measured was regarded as indicating the disintegration of the film used as a membrane.

5 The film from copolymer of acid number 120 disintegrated after 13 hours at pH 7, after 144 minutes at pH 7.5, and after 50 minutes at pH 8.

The film from copolymer of acid number 100 disintegrated after 12 hours at pH 7.5 and after 200 minutes at pH  
10 8.

d) Behaviour in vivo.

Gelatin capsules were filled with pellets of Amberlite IR-120-P (Sigma, USA) ion exchanger which had been marked with [ $^{111}\text{In}$ ] indium chloride and a small amount of [ $^{14}\text{C}$ ] cholyglycine. Thereafter, the capsules were coated  
15 with a film of methylated copolymer.

The capsules were orally administered to test persons and their course through the body was scintigraphically monitored with the aid of a gamma-ray camera and an  
20 image screen. The time needed by the capsules to reach the colon without disintegration was measured.

Moreover, a breath test on radio-active  $\text{CO}_2$  was carried out. If the coating layer of the capsule disintegrates after a certain residence time in the colon, the contents of the capsule will be released and radio-active cholyglycine will be metabolised by the intestinal flora whereupon [ $^{14}\text{C}$ ]  $\text{CO}_2$  is breathed out. During the breath test,  $\text{CO}_2$  was captured by hyamine dissolved in ethanol. The concentration of [ $^{14}\text{C}$ ]  $\text{CO}_2$  in the hyamine solution was determined  
30 with a Packard counter.

Capsules having a coating layer from copolymer of acid number 120 reached the colon after 300 minutes (average of 6 test persons) and radio-active  $\text{CO}_2$  was measured in the test persons' breath after 70 minutes residence time in the  
35 colon, which indicates a disintegration of the coating layer.

Capsules having a coating layer of  $2.1 \text{ mg/cm}^2$  from copolymer of acid number 100 reached the colon without



disintegration after 300 minutes (one test person) and disintegrated after 600 minutes (detection of radio active CO<sub>2</sub> in the person's breath and visual observation on the image screen).

- 5            Capsules having a coating layer of 5.3 mg/cm<sup>2</sup> from copolymer of acid number 100 also reached the colon after 300 minutes (one test person) but did not disintegrate.

            The conclusion from these tests must be that the copolymer of acid number 120 (ratio 1:3.5) is suitable for  
10 the purposes of the invention whereas the copolymer of acid number 100 is substantially unsuitable for such purposes.

C L A I M S

1. A coating or matrix material for medicaments, said material comprising a copolymer of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate wherein the ratio of free carboxy groups to esterified carboxygroups is between 1:4.5 and 1:3, the limiting values of this range being excluded.
2. A coating or matrix material as claimed in claim 1, characterized in that the alkyl or hydroxy alkyl (meth)-acrylate in said copolymer is a C<sub>1-3</sub> alkyl or a C<sub>2-4</sub> hydroxy-alkyl (meth)acrylate.
3. A method of preparing a coating or matrix material for medicaments, characterized by preparing a copolymer of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate wherein the ratio of free carboxy groups to esterified carboxy groups is between 1:4.5 and 1:3, the limiting values of this range being excluded.
4. A method as claimed in claim 3, characterized in that the preparation is effected by copolymerisation of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate in such proportions that the ratio of free carboxy groups to esterified carboxy groups in the end product is between 1:4.5 and 1:3.
5. A method as claimed in claim 3, characterized by starting with a copolymer of (meth)acrylic acid and alkyl or hydroxyalkyl (meth)acrylate which has a value between 1:1 and 1:3 for its ratio of free carboxy groups to esterified carboxy groups, and partially esterifying the free carboxy groups therein until the ratio of free carboxy groups to esterified carboxy groups is between 1:4.5 and 1:3.
6. A method as claimed in claim 5, characterized in that esterification is effected by introducing C<sub>1-3</sub> alkyl or C<sub>2-4</sub> hydroxyalkyl groups.
7. A method as claimed in claim 5, characterized in that esterification is effected by introducing methyl groups with the aid of diazomethane.

9

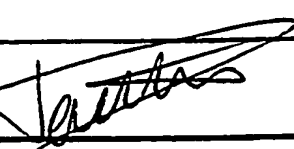
8. A medicament provided with a coating or matrix of a material as claimed in claim 1.

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 91/02046

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 A61K9/20; C08F220/12	A61K9/32;	A61K9/48; C08F8/14
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
Int.Cl. 5	A61K ; C08F	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
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Y	GB,A,907 309 (ABBOTT LABORATORIES) 3 October 1962 see page 2, column 1; claims 1-16 ---	1-6,8
X	CHEM. ZENTRALBLATT vol. 50, no. 1864, 1965, I. UTSUMI: 'SCHUTZUBERZÜGE' see abstract ---	1-4,8
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<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
08 JANUARY 1992	21. 01. 92	
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III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
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A	DE,A,1 944 693 (BANKER) 30 April 1970 see claims 1-14 ----	1
A	GB,A,1 159 236 (NATTERMANN & CIE) 23 July 1969 see claims 1-10 ----	1
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Page 2

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